



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: A8699

Salvatore F. NATI, et al.

Appln. No.: 10/813,173

Group Art Unit: 2828

Confirmation No.: 4480

Examiner: Not yet assigned

Filed: March 31, 2004

For:

METHOD AND APPARATUS FOR CONTROLLING AND PROTECTING PULSED

HIGH POWER FIBER AMPLIFIER SYSTEMS

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.: 10/813,173

waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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washington office 23373

CUSTOMER NUMBER

Date: June 30, 2004

	Substitute for Form 1449 A & B/PTO				Complete if Known			
	Substitute for Port	m 1447 A & D/1 10				Application Number	10/813,173	
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	STATEMENT BY APPLICANT				Filing Date	March 31, 2004		
	- %	(use as many sheets as necessary)				First Named Inventor	Salvatore F. NATI	
ı	30 2004 - 24					Art Unit	2828	
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	Sheet	1	of	1		Attorney Docket Number	A8699	

U.S. PATENT DOCUMENTS								
Examiner	Cite No.1	Document	Number	Publication Date				
Initials*		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
		US 6,347,007	B1	02/12/2002	Grubb et al			
		US 6,335,941	B1	01/01/2002	Grubb et al			
		US 6,433,306	B1	08/13/2002	Grubb et al			
		US 5,579,328	A	11/26/1996	Habel et al			
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		US 5,867,305	A	02/02/1999	Waarts et al			
		US 5,933,271	A	08/03/1999	Waarts et al			

FOREIGN PATENT DOCUMENTS								
Examiner	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or		
Initials*		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
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NON PATENT LITERATURE DOCUMENTS .					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		
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Examiner Signature	D	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.